

01-20-04

January 16, 2004

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime et al.  
Assignee: Cardica, Inc.  
Title: Method for Sutureless Connection of Blood Vessels  
Serial No.: 10/665,170  
Examiner: Not yet assigned  
Docket No.: 144  
Filed: 9/18/03  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.


☒ No additional fee is required.

☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

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**EXPRESS MAIL LABEL NO.  
EV302958549US**

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime et al.  
Assignee: Cardica, Inc.  
Title: Method for Sutureless Connection of Blood Vessels  
Serial No.: 10/665,170 Filing Date: 9/18/03  
Examiner: Not yet assigned Group Art Unit: 3731  
Docket No.: 144

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of the references listed on the form PTO-1449 and explanations of relevance of any non-English-language references are not included, because these references and explanations have been submitted in the parent application, U.S. Patent Application serial no. 09/664,589, of which this application is a continuation under 37 CFR 1.53(b).

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

## APPLICANT:

Jaime Vargas, et al.

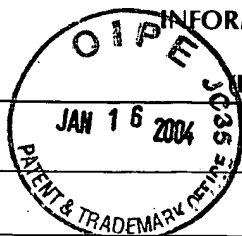
## FILING DATE:

9/18/03

## GROUP:

3731

(Use several sheets if necessary)



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	2,370,776	3/1/1945	CARLSON			
	AB	3,254,650	6/7/1966	COLLITO			
	AC	3,254,651	6/1/1966	COLLITO			
	AD	3,519,187	7/7/1970	KAPITANOV, ET AL			
	AE	3,774,615	11/27/1973	LIM, ET AL			
	AF	4,118,806	10/10/1978	PORIER ET AL			
	AG	4,214,587	7/29/1980	SAKURA, JR			
	AH	4,217,664	8/19/1980	FASO			
	AI	4,350,160	9/21/1982	KOLESOV, ET AL			
	AJ	4,352,358	10/5/1982	ANGELCHIK			
	AK	4,366,819	1/4/1983	KASTER			
	AL	4,368,736	1/18/1983	KASTER			
	AM	4,503,568	3/12/1985	MADRAS			
	AN	4,523,592	6/18/1985	DANIEL			
	AO	4,534,761	8/13/1985	RAIBLE			
	AP	4,553,542	11/19/1985	SCHENCK, ET AL			
	AQ	4,577,631	3/25/1986	KREAMER			
	AR	4,589,416	5/20/1986	GREEN			
	AS	4,593,693	6/10/1986	SCHENCK			
	AT	4,603,693	8/5/1986	CONTA, ET AL			
	AU	4,607,637	8/26/1986	BERGGREN, ET AL			
	AV	4,624,255	11/25/1986	SCHENCK, ET AL			
	AW	4,624,257	11/25/1986	BERGGREN, ET AL			
	AX	4,657,019	4/14/1987	WALSH, ET AL			
	AY	4,665,906	5/19/1987	JERVIS			
	AZ	4,721,109	1/26/1988	HEALEY			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	BA	4,747,407	5/31/1988	LIU, ET AL			
	BB	4,752,024	6/21/1988	GREEN, ET AL			
	BC	4,773,420	8/29/1989	GREEN			
	BD	4,861,330	8/29/1989	VOSS			
	BE	4,875,815	10/1/1989	PHILLIPS II			
	BF	4,883,453	11/28/1989	BERRY, ET AL.			
	BG	4,892,098	1/9/1990	SAUER			
	BH	4,907,591	3/13/1990	VASCONCELLOS, ET AL			
	BI	4,917,087	4/17/1990	WALSH, ET AL			
	BJ	4,917,090	4/17/1990	BERGGREN, ET AL			
	BK	4,917,091	4/17/1990	BERGGREN, ET AL			
	BL	4,930,674	6/5/1990	BARAK			
	BM	5,005,749	4/9/1991	ARANYI			
	BN	5,015,238	5/14/1991	SOLOMON ET AL			
	BO	5,062,842	11/5/1991	TIFFANY			
	BP	5,089,006	2/18/1992	STILES			
	BQ	5,104,025	4/14/1992	MAIN, ET AL			
	BR	5,119,983	6/9/1992	GREEN, ET AL			
	BS	5,129,913	7/14/1992	RUPPERT			
	BT	5,156,613	10/20/1992	SAWYER			
	BU	5,156,619	10/20/1992	EHRENFELD			
	BV	5,171,262	12/15/1992	MACGREGOR			
	BW	5,178,634	1/12/1993	RAMOS MARTINEZ			
	BX	5,187,796	2/16/1993	WANG, ET AL			
	BY	5,192,289	3/9/1993	JESSEN			
	BZ	5,193,731	3/16/1993	ARANYI			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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10/665,170

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	CA	5,205,459	4/27/1993	BRINKERHOFF, ET AL			
	CB	5,211,683	5/18/1993	MAGINOT			
	CC	5,217,474	6/8/1993	ZACCA, ET AL			
	CD	5,221,281	6/22/1993	KLICEK			
	CE	5,221,281	6/22/1993	KLICEK			
	CF	5,222,963	6/29/1993	BRINKERHOFF, ET AL			
	CG	5,234,447	8/10/1993	KASTER, ET AL			
	CH	5,250,058	10/5/1993	MILLER, ET AL			
	CI	5,250,060	10/5/1993	CARBO, ET AL			
	CJ	5,271,544	12/21/1993	FOX, ET AL			
	CK	5,275,322	1/4/1994	BRINKERHOFF, ET AL			
	CL	5,285,945	2/15/1994	BRINKERHOFF, ET AL			
	CM	5,290,298	3/1/1994	REBUFFAT, ET AL			
	CN	5,292,053	3/8/1994	BILOTTI, ET AL			
	CO	5,304,220	4/19/1994	MAGINOT			
	CP	5,314,435	5/24/1994	GREEN, ET AL			
	CQ	5,314,468	5/24/1994	RAMOS MARTINEZ			
	CR	5,326,205	10/19/1999	ANSPACH JR ET AL			
	CS	5,333,773	8/2/1994	MAIN, ET AL			
	CT	5,336,233	8/9/1994	CHEN			
	CU	5,350,104	9/27/1994	MAIN, ET AL			
	CV	5,354,302	10/11/1994	KO			
	CW	5,364,389	11/15/1994	ANDERSON			
	CX	5,366,462	11/22/1994	KASTER, ET AL			
	CY	5,392,979	2/28/1995	GREEN, ET AL			
	CZ	5,395,030	3/7/1995	KURAMOTO, ET AL			

## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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**DISPOSABLE PATENTS AND OTHER ITEMS FOR APPLICANT'S  
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## APPLICANT:

Jaime Vargas, et al.

## FILING DATE:

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3731

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	DA	5,395,311	3/7/1995	ANDREWS			
	DB	5,401,131	3/1/1995	YOSHINO			
	DC	5,443,497	8/22/1995	VENBRUX			
	DD	5,447,514	9/5/1995	GERRY, ET AL			
	DE	5,454,825	10/3/1995	VAN LEEUWEN			
	DF	5,456,712	10/10/1995	MAGINOT			
	DG	5,456,714	10/10/1995	OWEN			
	DH	5,464,449	11/7/1995	RYAN, ET AL			
	DI	5,465,895	11/14/1995	KNODEL, ET AL			
	DJ	5,478,354	12/26/1995	TOVEY, ET AL			
	DK	5,503,635	4/2/1996	SAUER, ET AL			
	DL	5,515,478	5/7/1996	WANG			
	DM	5,522,834	6/4/1996	FONGER, ET AL			
	DN	5,524,180	6/4/1996	WANG, ET AL			
	DO	5,533,661	7/9/1996	MAIN, ET AL			
	DP	5,540,677	7/30/1996	SINOFKY			
	DQ	5,553,198	9/3/1996	WANG, ET AL			
	DR	5,556,405	9/17/1996	LARY			
	DS	5,558,667	9/24/1996	YARBOROUGH, ET AL			
	DT	5,571,167	11/5/1996	MAGINOT			
	DU	5,643,340	7/1/1997	NUNOKAWA			
	DV	5,645,520	7/8/1997	NAKAMURA, ET AL			
	DW	5,657,429	8/12/1997	WANG, ET AL			
	DX	5,669,918	9/23/1997	BALAZS, ET AL			
	DY	5,669,934	9/23/1997	SAWYER			
	DZ	5,676,670	10/14/1997	KIM			

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10/665,170

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	EA	5,693,088	12/2/1997	LAZARUS			
	EB	5,695,504	12/9/1997	GIFFORD III, ET AL			
	EC	5,702,412	12/30/1997	POPOV, ET AL			
	ED	5,707,362	1/13/1998	YOON			
	EE	5,707,380	1/13/1998	HINCHCLIFFE, ET AL			
	EF	5,709,335	1/20/1998	HECK			
	EG	5,709,693	1/20/1998	TAYLOR			
	EH	5,725,544	3/10/1998	RYGAARD			
	EI	5,725,553	3/10/1998	MOENNING			
	EJ	5,732,872	3/31/1998	BOLDUC, ET AL			
	EK	5,754,741	5/19/1998	WANG, ET AL			
	EL	5,755,778	5/1/1998	KLESHINSKI			
	EM	5,762,458	6/9/1998	WANG, ET AL			
	EN	5,779,718	7/14/1998	GREEN, ET AL			
	EO	5,792,135	8/11/1998	MADHANI, ET AL			
	EP	5,797,900	8/25/1998	MADHANI, ET AL			
	EQ	5,797,920	8/25/1998	KIM			
	ER	5,799,661	9/1/1998	BOYD, ET AL			
	ES	5,799,857	9/1/1998	ROBERTSON, ET AL			
	ET	5,807,377	9/15/1998	MADHANI, ET AL			
	EU	5,814,073	9/29/1998	BONUTTI			
	EV	5,815,640	9/29/1998	WANG, ET AL			
	EW	5,817,113	10/6/1998	GIFFORD III, ET AL			
	EX	5,827,316	10/27/1998	YOUNG, ET AL			
	EY	5,833,698	11/10/1998	HINCHCLIFFE, ET AL			
	EZ	5,841,950	11/24/1998	WANG, ET AL			

## FOREIGN PATENT DOCUMENTS

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	FA	5,855,583	1/5/1999	WANG, ET AL		
	FB	5,868,763	2/9/1999	SPENCE, ET AL		
	FC	5,875,782	3/2/1999	FERRARI, ET AL		
	FD	5,878,193	3/2/1999	WANG, ET AL		
	FE	5,879,371	3/9/1999	GARDINER, ET AL		
	FF	5,881,943	3/16/1999	HECK ET AL		
	FG	5,893,369	4/13/1999	LEMOLE		
	FH	5,904,697	5/18/1999	GIFFORD III, ET AL		
	FI	5,907,664	5/25/1999	WANG, ET AL		
	FJ	5,911,036	6/8/1999	WRIGHT, ET AL		
	FK	5,915,616	6/29/1999	VIOLA, ET AL		
	FL	5,921,995	7/13/1999	KLESHINSKI		
	FM	5,944,730	8/31/1999	NOBLES, ET AL		
	FN	5,947,363	9/7/1999	BOLDUC, ET AL		
	FO	5,957,363	9/28/1999	HECK		
	FP	5,968,089	10/19/1999	KRAJICEK		
	FQ	5,976,159	11/2/1999	BOLDUC, ET AL		
	FR	6,001,124	12/14/1999	BACHINSKI		
	FS	6,007,544	12/28/1999	KIM		
	FT	6,013,190	1/11/2000	BERG, ET AL		
	FU	6,015,416	1/18/2000	STEFANCHIK, ET AL		
	FV	6,022,367	2/8/2000	SHERTS		
	FW	6,024,748	2/15/2000	MANZO, ET AL		
	FX	6,030,370	2/29/2000	KUPKA, ET AL		
	FY	6,030,395	2/29/2000	NASH, ET AL		
	FZ	6,036,669	3/14/2000	ANDREAS, ET AL		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	GA 6,036,700	3/14/2000	STEFANCHIK, ET AL			
	GB 6,036,702	3/14/2000	BACHINSKI, ET AL			
	GC 6,036,703	3/14/2000	EVANS, ET AL			
	GD 6,036,704	3/14/2000	YOON			
	GE 6,036,705	3/14/2000	NASH, ET AL			
	GF 6,050,472	4/18/2000	SHIBATA			
	GG 6,053,390	4/25/2000	GREEN, ET AL			
	GH 6,056,762	5/2/2000	NASH, ET AL			
	GI 6,066,144	5/23/2000	WOLF, ET AL			
	GJ 6,066,148	5/23/2000	RYGAARD			
	GK 6,068,637	5/30/2000	POPOV, ET AL			
	GL 6,074,416	6/13/2000	BERG, ET AL			
	GM 6,080,167	6/27/2000	LYELL			
	GN 6,080,173	6/27/2000	WILLIAMSON IV, ET AL			
	GO 6,083,234	7/4/2000	NICHOLAS, ET AL			
	GP 6,110,188	8/29/2000	NARCISO, JR			
	GQ 6,113,612	9/5/2000	SWANSON ET AL			
	GR 6,117,148	9/12/2000	RAVO, ET AL			
	GS 6,120,432	9/19/2000	SULLIVAN, ET AL			
	GT 6,146,393	11/14/2000	WAKABAYASHI			
	GU 6,149,681	11/21/2000	HOUSER, ET AL			
	GV 6,152,937	11/28/2000	PETERSON, ET AL			
	GW 6,152,945	11/28/2000	BACHINSKI, ET AL			
	GX 6,165,185	12/26/2000	SHENNIB, ET AL			
	GY 6,167,889	1/2/2001	BENETTI			
	GZ 6,171,319	1/9/2001	NOBLES, ET AL			

## FOREIGN PATENT DOCUMENTS

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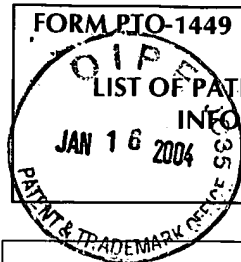
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	HA	6,171,321	1/9/2001	GIFFORD III, ET AL			
	HB	6,176,413	1/23/2001	HECK, ET AL			
	HC	6,176,864	1/23/2001	CHAPMAN			
	HD	6,186,942	2/13/2001	SULLIVAN, ET AL			
	HE	6,187,019	2/13/2001	STEFANCHIK, ET AL			
	HF	6,187,020	2/13/2001	ZEGDI, ET AL			
	HG	6,190,396	2/20/2001	WHITIN, ET AL			
	HH	6,190,397	2/20/2001	SPENCE, ET AL			
	HI	6,190,590	2/20/2001	RANDALL, ET AL			
	HJ	6,193,129	2/27/2001	BITTNER, ET AL			
	HK	6,193,734	2/27/2001	BOLDUC, ET AL			
	HL	6,206,912	3/27/2001	GOLDSTEEN, ET AL			
	HM	6,206,913	3/27/2001	YENCHO, ET AL			
	HN	6,235,054	5/22/2001	BERG, ET AL			
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	IA	517252	12/9/1992	EUROPE			
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	IS	00/15149	3/23/2000	PCT			
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	IU	00/27311	5/18/2000	PCT			
	IV	00/27312	5/18/2000	PCT			
	IW	00/27313	5/18/2000	PCT			
	IX	00/33745	6/15/2000	PCT			
	IY	00/41633	7/20/2000	PCT			
	IZ	00/53104	9/14/2000	PCT			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	JA	00/56223	9/28/2000	PCT			
	JB	00/56226	9/28/2000	PCT			
	JC	00/56227	9/28/2000	PCT			
	JD	00/56228	9/28/2000	PCT			
	JE	00/59380	10/12/2000	PCT			
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	JG	00/66009	11/19/2000	PCT			
	JH	00/69343	11/23/2000	PCT			
	JI	00/69346	11/23/2000	PCT			
	JJ	00/69349	11/23/2000	PCT			
	JK	00/69364	11/23/2000	PCT			
	JL	00/72764	12/7/2000	PCT			
	JM	00/74579	12/14/2000	PCT			
	JN	00/76405	12/21/2000	PCT			
	JO	01/08601	2/8/2001	PCT			
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	JT	01/34037	5/17/2001	PCT			
	JU	1/12074	2/22/2001	PCT			
	JV	1/17440	3/15/2001	PCT			
	JW	92/08513	5/29/1992	PCT			
	JX	96/25886	8/29/1996	PCT			
	JY	97/25002	7/17/1997	PCT			
	JZ	97/27898	8/7/1997	PCT			

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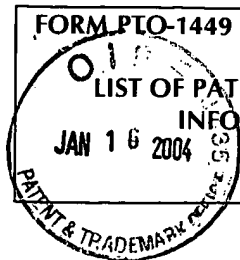
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	A	99/40851	8/19/1999	PCT			
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	D	99/52481	10/21/1999	PCT			
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